

SUBCHAPTER 9C - OPERATION OF STATE-CHARTERED SAVINGS AND LOAN ASSOCIATIONS

SECTION .0100 - GRANTING OF A CHARTER

04 NCAC 09C .0101 APPLICATION TO ORGANIZE A SAVINGS AND LOAN ASSOCIATION
04 NCAC 09C .0102 GUIDELINES IN CONSIDERING APPLICATION

History Note: Authority G.S. 54-1; 54-2; 54-7; 54-24; 54-24.1; 54-33.2; 54A-3; 54A-9; 54A-18;
150B-12;
Eff. February 1, 1976;
Amended Eff. March 15, 1979;
Repealed Eff. August 31, 1981.

04 NCAC 09C .0103 RESTRICTION ON STOCK OWNERSHIP

History Note: Authority G.S. 54-24; 54-24.1; 54-33.2; 54A-12;
Eff. November 7, 1977;
Repealed Eff. August 31, 1981.

04 NCAC 09C .0104 GUIDELINES IN PROCESSING NEW CHARTER APPLICATIONS

History Note: Authority G.S. 54-24; 54-24.1; 54-33.2; 54A-3;
Eff. June 1, 1978;
Amended Eff. May 15, 1981;
Repealed Eff. August 31, 1981.

04 NCAC 09C .0105 GUIDELINE FOR FILING NEW CHARTER APPLICATIONS

History Note: Authority G.S. 54-24; 54-24.1; 54-33.2; 54A-3; 54A-18; 150B-12;
Eff. June 15, 1979;
Repealed Eff. August 31, 1981.

04 NCAC 09C .0106 CORPORATE NAME

History Note: Authority G.S. 54-2; 54-24; 54-24.1; 54-33.2; 54A-3; 54A-10; 54A-18; 150B-12;
Eff. September 1, 1980;
Repealed Eff. August 31, 1981.

SECTION .0200 - GRANTING OF BRANCH OFFICES

04 NCAC 09C .0201 APPLICATION TO ESTABLISH A BRANCH OFFICE
04 NCAC 09C .0202 GUIDELINES IN CONSIDERING APPLICATION

History Note: Authority G.S. 54-24; 54-24.1; 54-33.2; 54A-3; 54A-18; 150B-12;
Eff. February 1, 1976;
Amended Eff. September 1, 1980; March 15, 1979;
Repealed Eff. August 31, 1981.

04 NCAC 09C .0203 GUIDELINES FOR FILING BRANCH APPLICATIONS

History Note: Authority G.S. 54-24; 54-24.1; 54-33.2; 54A-3; 54A-18; 150B-12;
Eff. June 15, 1979;
Amended Eff. March 1, 1981;
Repealed Eff. August 31, 1981.

04 NCAC 09C .0204 OPENING A BRANCH OFFICE

History Note: Authority G.S. 54-24; 54-24.1; 54-33.2; 54A-3; 54A-18; 150B-12;
Eff. March 1, 1981;
Repealed Eff. August 31, 1981.

SECTION .0300 - DIRECTORS: OFFICERS AND EMPLOYEES

04 NCAC 09C .0301 ORGANIZATION AND CLASSIFICATION OF DIRECTORS
04 NCAC 09C .0302 COMPOSITION OF BOARD OF DIRECTORS

History Note: Authority G.S. 54-2; 54-24; 54-24.1; 54-33.2; 54A-3; 54A-18; 150B-12;
Eff. February 1, 1976;
Amended Eff. March 15, 1979;
Repealed Eff. August 31, 1981.

04 NCAC 09C .0303 VACANCIES ON BOARD OF DIRECTORS
04 NCAC 09C .0304 MEETINGS OF DIRECTORS
04 NCAC 09C .0305 LIABILITY OF DIRECTORS TO ASSOCIATION
04 NCAC 09C .0306 DUTY OF DIRECTORS AND OFFICERS TO ASSOCIATION
04 NCAC 09C .0307 LOAN COMMITTEE
04 NCAC 09C .0308 OFFICERS AND EMPLOYEES
04 NCAC 09C .0309 RESTRICTIONS INVOLVING LOAN SERVICES
04 NCAC 09C .0310 INSIDER LOANS
04 NCAC 09C .0311 PROHIBITED TRANSACTIONS
04 NCAC 09C .0312 INDEMNITY BONDS
04 NCAC 09C .0313 EXPENSES OF LITIGATION
04 NCAC 09C .0314 BYLAWS

History Note: Authority G.S. 54-7; 54-18.3; 54-19; 54-21.2(b); 54-24; 54-24.1; 54-33.2; 54-33.3;
54A-2; 54A-3; 54A-18; 55-27; 55-28; 55-32; 55-34; 55-35; 150B-12;
Eff. March 15, 1979;
Amended Eff. March 1, 1981; April 15, 1980;
Repealed Eff. August 31, 1981.

SECTION .0400 - PROXIES

04 NCAC 09C .0401 VOTING BY PROXY
04 NCAC 09C .0402 FORM OF PROXY
04 NCAC 09C .0403 HOLDERS OF PROXIES
04 NCAC 09C .0404 PROXY SOLICITATION

History Note: Authority G.S. 54-7; 54-24; 54-24.1; 54-33.2; 54A-2; 54A-3; 54A-18; 55-68;
150B-12;
Eff. February 1, 1976;
Amended Eff. March 15, 1979;
Repealed Eff. August 31, 1981.

SECTION .0500 - RECORDS

04 NCAC 09C .0501 GENERAL POLICIES
04 NCAC 09C .0502 RETENTION: REPRODUCTION AND DISPOSITION OF RECORDS

History Note: Authority G.S. 54-24; 54-24.1; 54-33.1; 54-33.2;
Eff. February 1, 1976;
Amended Eff. June 15, 1978;

Repealed Eff. August 31, 1981.

SECTION .0600 - POWERS OF ASSOCIATION GENERALLY

04 NCAC 09C .0601	GENERAL PROVISIONS
04 NCAC 09C .0602	INSURANCE OF SAVINGS ACCOUNTS
04 NCAC 09C .0603	INSURED AND GUARANTEED LOANS
04 NCAC 09C .0604	DEALING WITH SUCCESSORS IN INTEREST
04 NCAC 09C .0605	PROPERTY IMPROVEMENT AND SMALL LOANS
04 NCAC 09C .0606	PURCHASE MONEY FINANCING OF MOBILE HOMES
04 NCAC 09C .0607	POWER TO PURCHASE LOANS AND TO LEND UPON LOANS
04 NCAC 09C .0608	PARTICIPATION LOANS
04 NCAC 09C .0609	SALE OF LOANS
04 NCAC 09C .0610	SERVICING LOANS

History Note: Authority G.S. 54-2; 54-18.3; 54-18.5; 54-19; 54-20; 54-21; 54-21.1; 54-21.2; 54-21.3; 54-21.4; 54-22; 54-23; 54-24; 54-24.1; 54-33.2; 54A-3; 54A-18; 150B-12; Eff. February 1, 1976; Amended Eff. September 1, 1980; September 1, 1977; Repealed Eff. August 31, 1981.

04 NCAC 09C .0611	RETIREMENT OR REDUCTION OF PERMANENT CAPITAL RESERVE
04 NCAC 09C .0612	DIVIDENDS BY A STOCK-OWNED SAVINGS AND LOAN ASSOCIATION

History Note: Authority G.S. 54-24; 54-24.1; 54-33.2; 54A-3; 54A-18; 54A-19; 150B-12; Eff. April 15, 1980; Repealed Eff. August 31, 1981.

04 NCAC 09C .0613	CREDIT CARDS
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History Note: Authority G.S. 54-24; 54-24.1; 54-33.2; 54A-3; 54A-18; 150B-12; Eff. September 1, 1980; Repealed Eff. August 31, 1981.

SECTION .0700 - NOTICE OF ANNUAL MEETING

04 NCAC 09C .0701	NOTICE OF ANNUAL MEETING REQUIRED
04 NCAC 09C .0702	NOTICE BY PUBLICATION
04 NCAC 09C .0703	ADDITIONAL NOTICE REQUIRED
04 NCAC 09C .0704	CONTENTS OF ADDITIONAL NOTICE

History Note: Authority G.S. 54-10; 54-24; 54-24.1; 54-33.2; 55-68; Eff. June 15, 1978; Repealed Eff. August 31, 1981.

SECTION .0800 - LIST OF STOCKHOLDERS TO BE KEPT: CERTIFICATES FOR SHARES AND THEIR TRANSFER

04 NCAC 09C .0801	LIST OF STOCKHOLDERS TO BE KEPT
04 NCAC 09C .0802	CERTIFICATES FOR SHARES
04 NCAC 09C .0803	TRANSFER OF SHARES

History Note: Authority G.S. 54-24; 54-24.1; 54-33.2; 54A-2; 54A-3; 54A-10; 54A-18; 150B-12; Eff. October 1, 1978; Amended Eff. September 1, 1980;

Repealed Eff. August 31, 1981.

04 NCAC 09C .0804 WHEN STOCK MAY BE HELD BY FINANCIAL INSTITUTIONS

*History Note: Authority G.S. 54-24; 54-24.1; 54-33.2; 54A-3; 54A-10; 54A-18; 150B-12;
Eff. April 15, 1980;
Repealed Eff. August 31, 1981.*